

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Complete if Known

Application Number **10/799,925**
Filing Date **March 11, 2004**
First Named Inventor **KAWASAKI, GLENN**
Examiner Name **Unassigned**

☒ Applicant claims small entity status. See 37 CFR 1.27Art Unit **1645**TOTAL AMOUNT OF PAYMENT **(\$ 549.00)**Attorney Docket No. **NATH-003****METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
Order☒ Deposit Account:

Deposit Account Number **50-0815**
Deposit Account Name **Bozicevic, Field & Francis LLP**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385 Utility filing fee	385.00
1002	2002	340	170 Design filing fee	
1003	2003	530	265 Plant filing fee	
1004	2004	770	385 Reissue filing fee	
1005	2005	160	80 Provisional filing fee	
SUBTOTAL (1)				385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
31	-20** = 11	x 9.00	= 99.00
Indep. Claims	3	-3** = 0	x =
Multiple Dependent			=

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
1202	2202	18	9 Claims in excess of 20
1201	2201	86	43 Independent claims in excess of 3
1203	2203	290	145 Multiple dependent claim, if not paid
1204	2204	86	43 ** Reissue independent claims over original patent
1205	2205	18	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$99.00			

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65 Surcharge - late filing fee or oath	65.00
1052	2052	50	25 Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	2251	110	55 Extension for reply within first month	
1252	2252	420	210 Extension for reply within second month	
1253	2253	950	475 Extension for reply within third month	
1254	2254	1,480	740 Extension for reply within fourth month	
1255	2255	2,010	1,005 Extension for reply within fifth month	
1401	2401	330	165 Notice of Appeal	
1402	2402	330	165 Filing a brief in support of an appeal	
1403	2403	290	145 Request for oral hearing	
1451	2451	1,510	1,510 Petition to institute a public use proceeding	
1452	2452	110	55 Petition to revive - unavoidable	
1453	2453	1,330	665 Petition to revive - unintentional	
1501	2501	1,330	665 Utility issue fee (or reissue)	
1502	2502	480	240 Design issue fee	
1503	2503	640	320 Plant issue fee	
1406	2406	130	130 Petitions to the Commissioner	
1807	2807	50	50 Processing fee under 37 CFR 1.17(q)	
1806	2806	180	180 Submission of Information Disclosure Stmt	
8021	8021	40	40 Recording each patent assignment per property (times number of properties)	
1809	2809	770	385 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385 Request for Continued Examination (RCE)	
1802	2802	900	900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

65.00

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Bret E. Field	Registration No. (Attorney/Agent)	37,620	Telephone	(650) 833-7770
Signature		Date	07/29/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/799,925	03/11/2004	Glenn Kawasaki	NATH-003

CONFIRMATION NO. 6828

24353
 BOZICEVIC, FIELD & FRANCIS LLP
 200 MIDDLEFIELD RD
 SUITE 200
 MENLO PARK, CA 94025

RECEIVED

JUN 07 2004

Bozicevic, Field, & Francis

FORMALITIES LETTER



OC000000012846487

Date Mailed: 06/02/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Handwritten: *DOCKETED*
11/08/02/04
11/01/02/05
-SEULIST

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Handwritten: *BT*

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$99 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$549** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$99
 - \$99 for 11 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

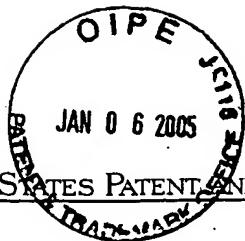
*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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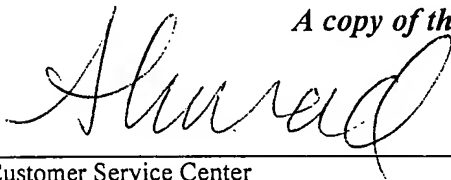
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